



Technical Evaluation Report[™]

TER 1303-01

Use of Styrofoam[™] Brand Insulation in Areas of "Very Heavy" Probability of Termite Infestation

DuPont™ Performance Building Solutions

Product:

Styrofoam[™] Brand Insulation

Issue Date: September 5, 2013

> Revision Date: June 22, 2023

Subject to Renewal: July 1, 2024



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COMPANY INFORMATION: ADDITIONAL LISTEES:

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DIVISION: 06 00 00 - WOOD, PLASTICS AND COMPOSITES

DIVISION: 07 00 00 - THERMAL AND MOISTURE PROTECTION

DIVISION: 31 00 00 - EARTHWORK

SECTION: 31 31 00 - Soil Treatment

SECTION: 31 31 16 - Termite Control

1 Innovative Product Evaluated^{1,2}

1.1 Styrofoam[™] Brand Insulation

2 Applicable Codes and Standards^{3,4}

- 2.1 Codes
 - 2.1.1 IBC—15, 18, 21: International Building Code®
 - 2.1.2 IRC—15, 18, 21: International Residential Code®
- 2.2 Standards and Referenced Documents
 - 2.2.1 ASTM C578: Standard Specification for Rigid, Cellular Polystyrene Thermal Insulation



¹ For more information, visit <u>dricertification.org</u> or call us at 608-310-6748.

² Federal Regulation Definition. <u>24 CFR 3280.2 "Listed or certified"</u> means included in a list published by a nationally recognized testing laboratory, inspection agency, or other organization concerned with product evaluation that maintains periodic inspection of production of listed equipment or materials, and whose listing states either that the equipment or material meets nationally recognized standards or has been tested and found suitable for use in a specified manner. International Building Code (IBC) Definition of Listed. Equipment, materials, products or services included in a list published by an organization acceptable to the <u>building official</u> and concerned with evaluation of products or services that maintains periodic inspection of production of listed equipment or materials, products or services and found suitable for a specified purpose. IBC Definition of Labeled. Equipment, materials or products to which has been affixed a label, seal, symbol or other identifying mark of a nationally recognized testing laboratory, approved agency or other organization concerned with product evaluation that maintains periodic inspection of the production of the above-labeled items and whose labeling indicates either that the equipment, material or product to which has been affixed a label, seal, symbol or other identifying mark of a nationally recognized testing laboratory, approved agency or other organization concerned with product evaluation that maintains periodic inspection of the production of the above-labeled items and whose labeling indicates either that the equipment, material or product meets identified standards or has been tested and found suitable for a specified purpose.

³ This Listing is a code defined research report, which is also known as a <u>duly authenticated report</u>, provided by an <u>approved agency</u> (see <u>IBC Section 1703.1</u>) and/or an <u>approved source</u> (see <u>IBC Section 1703.4.2</u>). An approved agency is "approved" when it is ANAB accredited. DrJ Engineering, LLC (DrJ) is listed in the <u>ANAB directory</u>). A professional engineer is "approved" as an <u>approved source</u> when that professional engineer is properly licensed to transact engineering commerce. Where sealed by a professional engineer, it is also a duly authenticated report certified by an approved source (i.e., Registered Design Professional). DrJ is an ANAB accredited product certification body.

⁴ Unless otherwise noted, all references in this Listing are from the 2021 version of the codes and the standards referenced therein. This material, product, design, service and/or method of construction also complies with the 2000-2021 versions of the referenced codes and the standards referenced therein.





3 Performance Evaluation

- 3.1 Tests, testing, test reports, research reports, <u>duly authenticated reports</u> and related engineering evaluations are defined as intellectual property and/or trade secrets and protected by <u>Defend Trade Secrets Act 2018</u> (DTSA).⁵
- 3.2 Testing and/or inspections conducted for this TER were performed an <u>ISO/IEC 17025 accredited testing</u> <u>laboratory</u>,⁶ an <u>ISO/IEC 17020 accredited inspection body</u>,⁷ which are internationally recognized accreditations through <u>International Accreditation Forum</u> (IAF), and/or a licensed <u>Registered Design Professional</u> (RDP).
- 3.3 This TER evaluates the use of Styrofoam[™] brand insulation in areas designated by the applicable building code as requiring protection from subterranean termites.
- 3.4 This report also examines the use of Styrofoam[™] brand insulation in areas designated by the applicable building code as "very heavy" probability of termite infestation.
- 3.5 Specifically, Styrofoam[™] brand insulation is evaluated for use in the following applications:
 - 3.5.1 Under slab foundations below grade
 - 3.5.2 On the interior or exterior face of foundation walls
 - 3.5.3 Under interior or exterior foundation walls
- 3.6 Any building code and/or accepted engineering evaluations (i.e. research reports, duly authenticated reports, etc.) that are conducted for this Listing were performed by DrJ Engineering, LLC (DrJ), an <u>ISO/IEC 17065</u> accredited certification body and a professional engineering company operated by RDPs / <u>approved sources</u>. DrJ is qualified⁸ to practice product and code compliance services within its scope of accreditation and engineering expertise, respectively.
- 3.7 Engineering evaluations are conducted with DrJ's ANAB <u>accredited ICS code scope</u>, which are also its areas of professional engineering competence.
- 3.8 Any regulation specific issues not addressed in this section are outside the scope of this TER.

4 Product Description and Materials

- 4.1 Styrofoam[™] brand insulation is:
 - 4.1.1 Made from extruded polystyrene (XPS) conforming to ASTM C578 for Type IV material
 - 4.1.2 Available in various lengths and widths
 - 4.1.2.1 Typically supplied in widths up to 48" and lengths of 96", 108", and 120"

⁵ https://www.law.cornell.edu/uscode/text/18/part-l/chapter-90. Given our professional duty to inform, please be aware that whoever, with intent to convert a trade secret (TS), that is related to a product or service used in or intended for use in interstate or foreign commerce, to the economic benefit of anyone other than the owner thereof, and intending or knowing that the offense will, injure any owner of that trade secret, knowingly without authorization copies, duplicates, sketches, draws, photographs, downloads, uploads, alters, destroys, photocopies, replicates, transmits, delivers, sends, mails, communicates, or conveys such information; shall be fined under this title or imprisoned not more than 10 years, or both. Any organization that commits any offense described in subsection (a) shall be fined not more than the greater of \$5,000,000 or 3 times the value of the stolen trade secret to the organization, including expenses for research and design and other costs of reproducing the trade secret that the organization has thereby avoided. The <u>federal government</u> and each state have a <u>public records act</u>. As the National Society of Professional Engineers states, "Engineers shall not disclose, without consent, confidential information concerning the business affairs or technical processes of any present or former client or employer, or public body on which they serve." Therefore, to protect intellectual property (IP) and TS, and to achieve compliance with public records and trade secret legislation, requires approval through the use of <u>Listings</u>, certified reports, technical ergorts, duly authenticated reports and/or approved agencies and/or approved sources. For more information, please review this website: Intellectual Property and Trade Secrets.

⁶ Internationally recognized accreditations are performed by members of the International Accreditation Forum (IAF). Accreditation Body and Regional Accreditation Group Members of IAF are admitted to the IAF MLA only after a stringent evaluation of their operations by a peer evaluation team, which is charged to ensure that the applicant complies fully with both international standards and IAF requirements. Once an accreditation body is a signatory of the IAF MLA, it is required to recognise certificates and validation and verification statements issued by conformity assessment bodies accredited by all other signatories of the IAF MLA, with the appropriate scope.

⁷ Ibid.

⁸ Qualification is performed by a legislatively defined <u>Accreditation Body</u>. <u>ANSI National Accreditation Board (ANAB)</u> is the largest independent accreditation body in North America and provides services in more than 75 countries. <u>DrJ</u> is an ANAB accredited <u>product certification body</u>.





- 4.1.3 Available in various thicknesses up to 4"
- 4.1.4 Minimum R-value of 5.0 per inch of thickness

5 Applications

- 5.1 Styrofoam[™] brand insulation complies with <u>IBC Chapter 26</u> and <u>IRC Section R316</u> for the use of foam plastics in building construction.
- 5.2 Styrofoam[™] brand insulation is used as continuous insulation as required in some climate zones on woodframe walls, basement walls, crawl space walls, and under slabs (<u>IRC Table N1102.1.3</u>⁹ and <u>IECC Table</u> <u>R402.1.3</u>¹⁰). Styrofoam[™] brand insulation is often used in these applications due to its high resistance to thermal energy loss per inch of thickness.
- 5.3 The IRC requires wood-framed buildings to be protected from termite damage, no matter what sheathing or cladding is applied.

R318.1 Subterranean termite control methods.

In areas subject to damage from termites as indicated by <u>Table R301.2</u>¹¹ methods of protection shall be one, or a combination, of the following methods:

- 1. Chemical termiticide treatment in accordance with Section R318.2
- 2. Termite-baiting system installed and maintained in accordance with the label.
- 3. Pressure-preservative-treated wood in accordance with the provisions of Section R317.1.
- 4. Naturally durable termite-resistant wood.
- 5. Physical barriers in accordance with Section R318.3 and used in locations as specified in Section R317.1.
- 6. Cold-formed steel framing in accordance with Sections R505.2.1 and R603.2.1.
- 5.4 The IBC does not contain a similar list of termite control methods. However, <u>IBC Section 2304.12</u> describes methods to protect wood framing against decay and termites. These methods are outside the scope of this document since they do not relate to the use of foam plastic insulating sheathing (FPIS).
- 5.5 Both the IBC and IRC define the probability of termite infestation with the maps shown below.

^{9 2018} IRC Table N1102.1.2

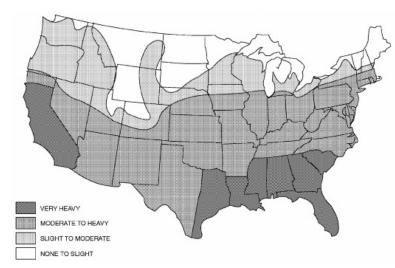
¹⁰ 2018 IECC Table R402.1.2

^{11 2018} Table R301.2(1)

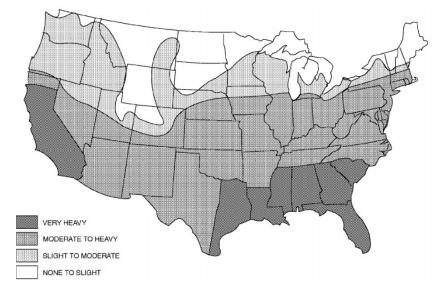
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Note: Lines defining areas are approximate only. Local conditions may be more or less severe than indicated by the region classification.

IRC Figure R318.4¹²: Termite Infestation Probability Map

^{12 2018} IRC Figure R301.2(7)





5.6 Beyond the general provisions for protection of wood structures against termites, use of foam plastic insulation in areas subject to "very heavy" termite infestation probability is found in both the IBC and IRC.

IBC Section 2603.8 Protection against termites.

In areas where the probability of termite infestation is very heavy in accordance with Figure 2603.8, extruded and expanded polystyrene, polyisocyanurate and other foam plastics shall not be installed on the exterior face or under interior or exterior foundation walls or slab foundations located below grade. The clearance between foam plastics installed above grade and exposed earth shall be at least 6 inches (152 mm).

Exceptions:

- 1. Buildings where the structural members of walls, floors, ceilings and roofs are entirely of noncombustible materials or preservative-treated wood.
- 2. An approved method of protecting the foam plastic and structure from subterranean termite damage is provided.
- 3. On the interior side of basement walls.

IRC Section R318.4 Foam plastic protection.

In areas where the probability of termite infestation is "very heavy" as indicated in Figure R318. (extruded and expanded polystyrene, polyisocyanurate and other foam plastics shall not be installed on the exterior face or under interior or exterior foundation walls or slab foundations located below grade. The clearance between foam plastics installed above grade and exposed earth shall be at least 6 inches (152 mm).

Exceptions:

- 1. Buildings where the structural members of walls, floors, ceilings and roofs are entirely of noncombustible materials or pressure-preservative-treated wood.
- 2. When in addition to the requirements of <u>Section R318.1</u>, an approved method of protecting the foam plastic and structure from subterranean termite damage is used.
- 3. On the interior side of basement walls.
- 5.7 Specifically, the use of FPIS in three locations is addressed as needing special consideration:
 - 5.7.1 Under slab foundations below grade
 - 5.7.2 On the exterior face of foundation walls
 - 5.7.3 Under interior or exterior foundation walls
- 5.8 In areas subject to "very heavy" termite infestation probability, the use of foam plastic insulation is permitted in the following circumstances, per the exceptions given in <u>IBC Section 2603.8</u> and <u>IRC Section R318.4</u>:
 - 5.8.1 Exception #1 Where the structure in its entirety is made of noncombustible materials or pressure-preservative treated wood.
 - 5.8.2 Exception #2 In addition to the requirements of <u>IRC Section R318.1</u>, where an approved method of protecting the foam and the structure is used.
 - 5.8.3 Exception #3 In cases where continuous insulation is required on basement walls, that it be installed on the interior side.



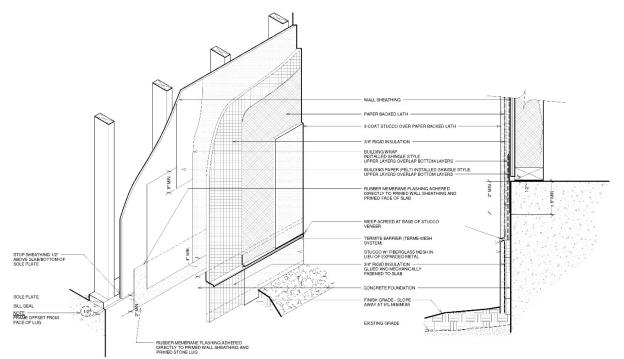


- 5.9 The following methods can be considered for approval for the protection of the FPIS in "very heavy" termite infestation probability areas (Exception #2).
 - 5.9.1 Use of Styrofoam[™] brand insulation that incorporates a termiticide, like Styrofoam[™] BLUEGUARD[™].
 - 5.9.1.1 This product exceeds the performance requirements set forth by the U.S. EPA, which enables code approval for use in below-grade applications in very heavy termite infested areas.
 - 5.9.2 Chemical termiticide treatment of the soil with retreatment as required, per the termiticide label (<u>IRC</u> <u>Section R318.2</u>), and which is approved for use in very heavy termite infested areas.
 - 5.9.3 Protection of Styrofoam[™] brand insulation with a physical barrier product that is approved for use in very heavy termite infested areas and that prevents access by the termites to the foam plastic insulation is required (IRC Section R318.3). These products may include:
 - 5.9.3.1 Approved applied coverings or coatings that prevent access to the foam by termites
 - 5.9.3.2 Termite-resistant soils, gravels or sands
 - 5.9.3.3 Use of termite barriers that prevent termites from accessing the wood framing through hidden pathways
- 5.10 FPIS is not a food source for the termites. However, FPIS may serve as a pathway for termites to travel through causing damage that is not visible for inspection.
- 5.11 Products called termite shields generally provide only a physical deterrent to termites and may also expose their activity, but do not, by themselves, necessarily protect against termites, but make inspection easier. These products need to be used in conjunction with another method of protection in accordance with <u>IRC Section</u> <u>R318.3</u>.

<u>R318.3 Barriers.</u> Approved physical barriers, such as metal or plastic sheeting or collars specifically designed for termite prevention, shall be installed in a manner to prevent termites from entering the structure. Shields placed on top of an exterior foundation wall are permitted to be used only if in combination with another method of protection.

- 5.12 The following details show the use of Styrofoam[™] brand insulation on the exterior side of foundation walls and are compliant with the IBC and IRC for use in areas of "very heavy" probability of termite infestation.
 - 5.12.1 With stucco veneer (Figure 1)
 - 5.12.2 With stone veneer (Figure 2)







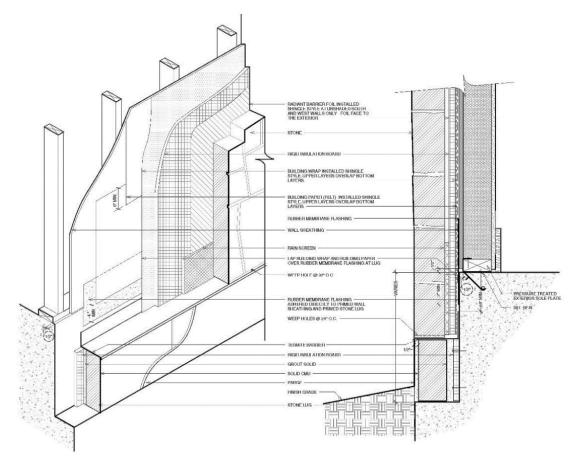


Figure 2. Detail with Stone Veneer

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5.13 Where the application falls outside of the performance evaluation, conditions of use and/or installation requirements set forth herein, alternative techniques shall be permitted in accordance with accepted engineering practice and experience. This includes but is not limited to the following areas of engineering: mechanics or materials, structural, building science, and fire science.

6 Installation

- 6.1 Installation shall comply with the approved construction documents, the manufacturer installation instructions, this TER and the applicable building code.
- 6.2 In the event of a conflict between the manufacturer installation instructions and this TER, the more restrictive shall govern.
- 6.3 For application details not covered in this TER, use of Styrofoam[™] brand insulation is permitted, provided the application is approved and meets the intent of the applicable code.
- 6.4 Installation in areas designated as very heavy termite infestation probability must comply with <u>IBC Section</u> <u>2603.8</u> or <u>IRC Section R318.4</u>.

7 Substantiating Data

- 7.1 Information contained herein may include the result of testing and/or data analysis by sources that are approved agencies (i.e., ANAB accredited agencies), approved sources (i.e., RDPs), and/or professional engineering regulations. Accuracy of external test data and resulting analysis is relied upon.
- 7.2 Where pertinent, testing and/or engineering analysis is based upon provisions that have been codified into law through state or local adoption of codes and standards. The developers of these codes and standards are responsible for the reliability of published content. DrJ's engineering practice may use a code-adopted provision as the control sample. A control sample versus a test sample establishes a product as <u>being equivalent</u> to the code-adopted provision in terms of quality, <u>strength</u>, effectiveness, <u>fire resistance</u>, durability, and safety.
- 7.3 The accuracy of the provisions provided herein may be reliant upon the published properties of raw materials, which are defined by the grade mark, grade stamp, mill certificate, <u>Listings</u>, <u>certified reports</u>, <u>duly authenticated reports</u> from <u>approved agencies</u>, and <u>research reports</u> prepared by <u>approved agencies</u> and/or <u>approved sources</u> provided by the suppliers of products, materials, designs, assemblies and/or methods of construction. These are presumed to be minimum properties and relied upon to be accurate. The reliability of DrJ's engineering practice, as contained in this TER, may be dependent upon published design properties by others.
- 7.4 Testing and engineering analysis: The strength, rigidity and/or general performance of component parts and/or the integrated structure are determined by suitable tests that simulate the actual conditions of application that occur and/or by accepted engineering practice and experience.¹³
- 7.5 Where additional condition of use and/or code compliance information is required, please search for Styrofoam[™] Brand Insulation on the <u>DrJ Certification</u> website.

8 Findings

- 8.1 As delineated in Section 3, Styrofoam[™] Brand Insulation has performance characteristics that were tested and/or meet pertinent standards and is suitable for use pursuant to its specified purpose.
- 8.2 When used and installed in accordance with this TER and the manufacturer installation instructions, Styrofoam[™] Brand Insulation shall be approved for the following applications:
 - 8.2.1 Under slab foundations below grade
 - 8.2.2 On the interior or exterior face of foundation walls
 - 8.2.3 Under interior or exterior foundation walls

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¹³ See Code of Federal Regulations (CFR) <u>Title 24 Subtitle B Chapter XX Part 3280</u> for definition.

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- 8.3 Any application specific issues not addressed herein can be engineered by an RDP. Assistance with engineering is available from DuPont[™] Performance Building Solutions.
- 8.4 <u>IBC Section 104.11 (IRC Section R104.11</u> and <u>IFC Section 104.10¹⁴ are similar</u>) in pertinent part states:

104.11 Alternative materials, design and methods of construction and equipment. The provisions of this code are not intended to prevent the installation of any material or to prohibit any design or method of construction not specifically prescribed by this code. Where the alternative material, design or method of construction is not approved, the building official shall respond in writing, stating the reasons the alternative was not approved.

- 8.5 **Approved**: ¹⁵ Building codes require that <u>the building official shall accept duly authenticated reports</u>¹⁶ or <u>research reports</u>¹⁷ from <u>approved agencies</u> and/or <u>approved sources</u> (i.e., licensed RDP) with respect to the quality and manner of use of new products, materials, designs, services, assemblies, or methods of construction.
 - 8.5.1 <u>Acceptability</u> of an <u>approved agency</u>, by a building official, is performed by verifying that the agency is accredited by a recognized accreditation body of the <u>International Accreditation Forum</u> (IAF).
 - 8.5.2 <u>Acceptability</u> of a licensed RDP, by a building official, is performed by verifying that the RDP and/or their business entity is listed by the <u>licensing board</u> of the relevant <u>jurisdiction</u>.
 - 8.5.3 Federal law, <u>Title 18 US Code Section 242</u>, requires that where the alternative product, material, service, design, assembly, and/or method of construction is not approved, the building official shall respond in writing, stating the reasons why the alternative was not approved, as denial without written reason deprives a protected right to free and fair competition in the marketplace.
- 8.6 DrJ is an engineering company, employs RDPs and is an ISO/IEC 17065 <u>ANAB-Accredited Product</u> Certification Body – <u>Accreditation #1131</u>.
- 8.7 Through ANAB accreditation and the <u>IAF Multilateral Agreements</u>, this TER can be used to obtain product approval in any jurisdiction or country that has <u>IAF MLA Members & Signatories</u> to meet the <u>Purpose of the MLA</u> "certified once, accepted everywhere." IAF specifically says, "Once an accreditation body is a signatory of the IAF MLA, it is required to recognise certificates and validation and verification statements issued by conformity assessment bodies accredited by all other signatories of the IAF MLA, with the appropriate scope."¹⁸

9 Conditions of Use

- 9.1 Material properties shall not fall outside the boundaries defined in Section 3.
- 9.2 As defined in Section 3, where material and/or engineering mechanics properties are created for load resisting design purposes, the resistance to the applied load shall not exceed the ability of the defined properties to resist those loads using the principles of accepted engineering practice.
- 9.3 When used, termiticides shall be applied in accordance with the manufacturer installation instructions and shall comply with all applicable state and federal regulations pertaining to their use.
- 9.4 Installation of the methods of protection shall be in accordance with the installation instructions provided by the manufacturer of the product used for protection.

^{14 2018} IFC Section 104.9

¹⁵ Approved is an adjective that modifies the noun after it. For example, Approved Agency means that the Agency is accepted officially as being suitable in a particular situation. This example conforms to IBC/IRC/IFC Section 201.4 where the building code authorizes sentences to have an ordinarily accepted meaning such as the context implies.

¹⁶ https://up.codes/viewer/wyoming/ibc-2021/chapter/17/special-inspections-and-tests#1707.1

¹⁷ https://up.codes/viewer/wyoming/ibc-2021/chapter/17/special-inspections-and-tests#1703.4.2

¹⁸ https://iaf.nu/en/about-iaf-mla/#:~:text=required%20to%20recognise





- 9.5 When required by adopted legislation and enforced by the <u>building official</u>, also known as the authority having jurisdiction (AHJ) in which the project is to be constructed:
 - 9.5.1 Any calculations incorporated into the construction documents shall conform to accepted engineering practice, and, when prepared by an <u>approved source</u>, shall be approved when requirements of adopted legislation are met.
 - 9.5.2 This TER and the installation instructions shall be submitted at the time of <u>permit</u> application.
 - 9.5.3 This Styrofoam[™] Brand Insulation has an internal quality control program and a third-party quality assurance program.
 - 9.5.4 At a minimum, this Styrofoam[™] Brand Insulation shall be installed per Section 6 of this TER.
 - 9.5.5 The review of this TER, by the AHJ, shall be in compliance with <u>IBC Section 104</u> and <u>IBC Section 105.4</u>.
 - 9.5.6 This Styrofoam[™] Brand Insulation has an internal quality control program and a third party quality assurance program in accordance with <u>IBC Section 104.4</u>, <u>IBC Section 110.4</u>, <u>IBC Section 1703</u>, <u>IRC Section R104.4</u> and <u>IRC Section R109.2</u>.
 - 9.5.7 The application of this Styrofoam[™] Brand Insulation in the context of this TER is dependent upon the accuracy of the construction documents, implementation of installation instructions, inspection as required by <u>IBC Section 110.3</u>, <u>IRC Section R109.2</u> and any other regulatory requirements that may apply.
- 9.6 The approval of this TER by the AHJ shall comply with <u>IBC Section 1707.1</u>, where legislation states in pertinent part, "the <u>building official</u> shall accept duly authenticated reports from <u>approved agencies</u> in respect to the quality and manner of <u>use</u> of new materials or assemblies as provided for in <u>Section 104.11</u>", all of <u>IBC Section 104.41</u>.
- 9.7 <u>Design loads</u> shall be determined in accordance with the building code adopted by the jurisdiction in which the project is to be constructed and/or by the building designer (i.e., <u>owner</u> or RDP).
- 9.8 The actual design, suitability, and use of this TER, for any particular building, is the responsibility of the <u>owner</u> or the owner's authorized agent.

10 Identification

- 10.1 The Styrofoam[™] Brand Insulation listed in Section 1.1 is identified by a label on the board or packaging material bearing the manufacturer name, product name, TER number, and other information to confirm code compliance.
- 10.2 Additional technical information can be found at <u>dupont.com/building</u>.

11 Review Schedule

- 11.1 This TER is subject to periodic review and revision. For the most recent version, visit dricertification.org.
- 11.2 For information on the status of this TER, contact DrJ Certification.

12 Approved for Use Pursuant to US and International Legislation Defined in Appendix A

12.1 Styrofoam[™] Brand Insulation is included in this TER published by an approved agency that is concerned with evaluation of products or services, maintains periodic inspection of the production of listed materials or periodic evaluation of services, and whose TER Listing states either that the material, product, or service meets identified standards or has been tested and found suitable for a specified purpose. This TER meets the legislative intent and definition of being acceptable to the AHJ.





Appendix A

1 Legislation that Authorizes AHJ Approval

- 1.1 **Fair Competition**: <u>State legislatures</u> have adopted Federal regulations for the examination and approval of building code referenced and alternative products, materials, designs, services, assemblies and/or methods of construction that:
 - 1.1.1 Advance Innovation,
 - 1.1.2 Promote competition so all businesses have the opportunity to compete on price and quality in an open market on a level playing field unhampered by anticompetitive constraints, and
 - 1.1.3 Benefit consumers through lower prices, better quality, and greater choice.
- 1.2 Adopted Legislation: The following local, state, and federal regulations affirmatively authorize Styrofoam[™] Brand Insulation to be approved by AHJs, delegates of building departments, and/or <u>delegates of an agency of</u> <u>the federal government</u>:
 - 1.2.1 Interstate commerce is governed by the <u>Federal Department of Justice</u> to encourage the use of innovative products, materials, designs, services, assemblies and/or methods of construction. The goal is to "protect economic freedom and opportunity by promoting free and fair competition in the marketplace."
 - 1.2.2 <u>Title 18 US Code Section 242</u> affirms and regulates the right of individuals and businesses to freely and fairly have new products, materials, designs, services, assemblies and/or methods of construction approved for use in commerce. Disapproval of alternatives shall be based upon non-conformance with respect to specific provisions of adopted legislation, and shall be provided in writing <u>stating the reasons</u> why the alternative was not approved, with reference to the specific legislation violated.
 - 1.2.3 The <u>federal government</u> and each state have a <u>public records act</u>. In addition, each state also has legislation that mimics the federal <u>Defend Trade Secrets Act 2018</u> (DTSA).
 - 1.2.3.1 Compliance with public records and trade secret legislation requires approval through the use of listings, certified reports, Technical Evaluation Reports, duly authenticated reports and/or research reports prepared by approved agencies and/or approved sources.
 - 1.2.4 For <u>new materials</u>¹⁹ that are not specifically provided for in any building code, the <u>design strengths and</u> <u>permissible stresses</u> shall be established by <u>tests</u>, where <u>suitable load tests simulate the actual loads and</u> <u>conditions of application that occur</u>.
 - 1.2.5 The <u>design strengths and permissible stresses</u> of any structural material shall <u>conform</u> to the specifications and methods of design using accepted engineering practice.²⁰
 - 1.2.6 The commerce of <u>approved sources</u> (i.e., registered PEs) is regulated by <u>professional engineering</u> <u>legislation</u>. Professional engineering <u>commerce shall always be approved</u> by AHJs, except where there is evidence, provided in writing, that specific legislation has been violated by an individual registered PE.
 - 1.2.7 The AHJ <u>shall accept duly authenticated reports</u> from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in <u>IBC Section 104.11</u>.²¹

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¹⁹ https://up.codes/viewer/wyoming/ibc-2021/chapter/17/special-inspections-and-tests#1706.2

²⁰ IBC 2021, Section 1706.1 Conformance to Standards

²¹ IBC 2021, Section 1707 Alternative Test Procedure, 1707.1 General





- 1.3 Approved²² by Los Angeles: The Los Angeles Municipal Code (LAMC) states in pertinent part that the provisions of LAMC are not intended to prevent the use of any material, device, or method of construction not specifically prescribed by LAMC. The Department shall use Part III, Recognized Standards in addition to Part II, Uniform Building Code Standards of <u>Division 35</u>, <u>Article 1</u>, <u>Chapter IX</u> of the LAMC in evaluation of products for approval where such standard exists for the product or the material and may use other approved standards, which apply. Whenever tests or certificates of any material or fabricated assembly are required by <u>Chapter IX</u> of the LAMC, such tests or certification shall be made by a <u>testing agency</u> approved by the Superintendent of Building to conduct such tests or provide such certifications. The testing agency shall publish the scope and limitation(s) of the listed material or fabricated assembly.²³ The Superintendent of Building <u>roster of approved testing agencies</u> is provided by the Los Angeles Department of Building and Safety (LADBS). The Center for Building Innovation (CBI) <u>Certificate of Approval License is TA24945</u>. Tests and certifications found in a <u>CBI Listing</u> are LAMC approved. In addition, the Superintendent of Building <u>shall accept duly authenticated reports</u> from <u>approved agencies</u> in respect to the quality and manner of use of new materials or assemblies as provided for in the California Building Code (<u>CBC</u>) <u>Section 1707.1</u>.²⁴
- 1.4 Approved by Chicago: The Municipal Code of Chicago (MCC) states in pertinent part that an Approved Agency is a Nationally Recognized Testing Laboratory (NRTL) acting within its recognized scope and/or a certification body accredited by the American National Standards Institute (ANSI) acting within its accredited scope. Construction materials and test procedures shall conform to the applicable standards listed in the MCC. Sufficient technical data shall be submitted to the building official to substantiate the proposed use of any product, material, service, design, assembly and/or method of construction not specifically provided for in the MCC. This technical data shall consist of research reports from approved sources (i.e., MCC defined Approved Agencies).
- 1.5 Approved by New York City: The <u>NYC Building Code 2022</u> (NYCBC) states in pertinent part that <u>an approved agency shall be deemed²⁵</u> an approved testing agency via <u>ISO/IEC 17025 accreditation</u>, an approved inspection agency via <u>ISO/IEC 17020</u> accreditation, and an approved product evaluation agency via <u>ISO/IEC 17065 accreditation</u>. Accrediting agencies, other than federal agencies, must be members of an internationally recognized cooperation of laboratory and inspection accreditation bodies subject to a mutual recognition agreement²⁶ (i.e., <u>ANAB</u>, <u>International Accreditation Forum</u> (IAF), etc.).

²² See Section 8 for the distilled building code definition of Approved

²³ Los Angeles Municipal Code, SEC. 98.0503. TESTING AGENCIES

²⁴ https://up.codes/viewer/california/ca-building-code-2022/chapter/17/special-inspections-and-tests#1707.1

²⁵ New York City, The Rules of the City of New York, § 101-07 Approved Agencies

²⁶ New York City, The Rules of the City of New York, § 101-07 Approved Agencies





- 1.6 Approved by Florida: Statewide approval of products, methods, or systems of construction shall be approved, without further evaluation, by 1) A certification mark or listing of an approved certification agency, 2) A test report from an approved testing laboratory, 3) A product evaluation report based upon testing or comparative or rational analysis, or a combination thereof, from an approved product evaluation entity; 4) A product evaluation report based upon testing or comparative or rational analysis, or a combination thereof, developed and signed and sealed by a professional engineer or architect, licensed in Florida. For local product approval, products or systems of construction shall demonstrate compliance with the structural wind load requirements of the Florida Building Code (FBC) through one of the following methods; 1) A certification mark, listing, or label from a commission-approved certification agency indicating that the product complies with the code; 2) A test report from a commission-approved testing laboratory indicating that the product tested complies with the code; 3) A product-evaluation report based upon testing, comparative or rational analysis, or a combination thereof, from a commission-approved product evaluation entity which indicates that the product evaluated complies with the code: 4) A product-evaluation report or certification based upon testing or comparative or rational analysis, or a combination thereof, developed and signed and sealed by a Florida professional engineer or Florida registered architect, which indicates that the product complies with the code; 5) A statewide product approval issued by the Florida Building Commission. The Florida Department of Business and Professional Regulation (DBPR) website provides a listing of companies certified as a Product Evaluation Agency (i.e., EVLMiami 13692), a Product Certification Agency (i.e., CER10642), and as a Florida Registered Engineer (i.e., ANE13741).
- 1.7 **Approved by Miami-Dade County (i.e., Notice of Acceptance [NOA])**: A Florida statewide approval is an NOA. An NOA is a Florida local product approval. By Florida law, Miami-Dade County shall accept the statewide and local Florida Product Approval as provided for in Florida legislation <u>553.842</u> and <u>553.8425</u>.
- 1.8 Approved by New Jersey: Pursuant to Building Code 2018 of New Jersey in IBC Section 1707.1 General,²⁷ it states; "In the absence of approved rules or other approved standards, the building official shall accept duly authenticated reports from approved agencies in respect to the quality and manner of use of new materials or assemblies as provided for in the administrative provisions of the Uniform Construction Code (N.J.A.C. 5:23)".28 Furthermore N.J.A.C 5:23-3.7 states: Municipal approvals of alternative materials, equipment, or methods of construction. (a) Approvals: Alternative materials, equipment, or methods of construction shall be approved by the appropriate subcode official provided the proposed design is satisfactory and that the materials, equipment, or methods of construction are suitable for the intended use and are at least the equivalent in guality, strength, effectiveness, fire resistance, durability and safety of those conforming with the requirements of the regulations. 1. A field evaluation label and report or letter issued by a nationally recognized testing laboratory verifying that the specific material, equipment, or method of construction meets the identified standards or has been tested and found to be suitable for the intended use, shall be accepted by the appropriate subcode official as meeting the requirements of (a) above. 2. Reports of engineering findings issued by nationally recognized evaluation service programs, such as, but not limited to, the Building Officials and Code Administrators (BOCA), the International Conference of Building Officials (ICBO), the Southern Building Code Congress International (SBCCI), the International Code Council (ICC), and the National Evaluation Service, Inc., shall be accepted by the appropriate subcode official as meeting the requirements of (a) above. The New Jersey Department of Community Affairs has confirmed that technical evaluation reports, from any accredited entity listed by ANAB, meets the requirements of item 2 given that the listed entities are no longer in existence and/or do not provide "reports of engineering findings".

²⁷ https://up.codes/viewer/new_jersey/ibc-2018/chapter/17/special-inspections-and-tests#1707.1

²⁸ https://www.nj.gov/dca/divisions/codes/codreg/ucc.html





- 1.9 **Approved by the Code of Federal Regulations Manufactured Home Construction and Safety Standards**: Pursuant to Title 24, Subtitle B, Chapter XX, <u>Part 3282.14</u>²⁹ and <u>Part 3280</u>,³⁰ the Department encourages innovation and the use of new technology in manufactured homes. The design and construction of a manufactured home shall conform with the provisions of Part 3282 and Part 3280 where key approval provisions in mandatory language follow: 1) "All construction methods shall be in conformance with accepted engineering practices"; 2) "The strength and rigidity of the component parts and/or the integrated structure shall be determined by engineering analysis or by suitable load tests to simulate the actual loads and conditions of application that occur."; and 3) "The design stresses of all materials shall conform to accepted engineering practice."
- 1.10 **Approval by US, Local, and State Jurisdictions in General**: In all other local and state jurisdictions, the adopted building code legislation states in pertinent part that:
 - 1.10.1 For <u>new materials</u> that are not specifically provided for in this code, the <u>design strengths and permissible</u> <u>stresses</u> shall be established by tests.³¹
 - 1.10.2 For <u>innovative alternative products</u>, <u>materials</u>, <u>designs</u>, <u>services and/or methods of construction</u>, in the absence of approved rules or other approved standards...the building official shall accept duly authenticated reports (i.e., listing and/or research report) from <u>approved agencies</u> with respect to the quality and manner of use of <u>new materials or assemblies</u>.³² A building official <u>approved agency</u> is deemed to be approved via certification from an <u>accreditation body</u> that is listed by the <u>International Accreditation</u> <u>Forum</u>³³ or equivalent.
 - 1.10.3 The <u>design strengths and permissible stresses</u> of any structural material...shall conform to the specifications and methods of design of accepted engineering practice performed by an <u>approved</u> <u>source</u>.³⁴ An <u>approved source</u> is defined as a PE subject to professional engineering laws, where a research and/or a technical evaluation report certified by a PE, shall be approved.
- 1.11 **Approval by International Jurisdictions**: The <u>USMCA</u> and <u>GATT</u> agreements provide for approval of innovative materials, products, designs, services, assemblies and/or methods of construction through the <u>Technical Barriers to Trade</u> agreements and the <u>International Accreditation Forum (IAF) Multilateral</u> <u>Recognition Arrangement (MLA)</u>, where these agreements:
 - 1.11.1 Permit participation of <u>conformity assessment bodies</u> located in the territories of other Members (defined as GATT Countries) under conditions no less favourable than those accorded to bodies located within their territory or the territory of any other country,
 - 1.11.2 State that <u>conformity assessment procedures</u> (i.e., ISO/IEC 17020, 17025, 17065, etc.) are prepared, adopted, and applied so as to grant access for suppliers of like products originating in the territories of other Members under conditions no less favourable than those accorded to suppliers of like products of national origin or originating in any other country, in a comparable situation.
 - 1.11.3 State that conformity assessment procedures are not prepared, adopted, or applied with a view to or with the effect of creating unnecessary obstacles to international trade. This means that conformity assessment procedures <u>shall not be more strict</u> or be applied more strictly than is necessary to give the importing Member adequate confidence that products conform to the applicable technical regulations or standards.

²⁹ https://www.ecfr.gov/current/title-24/subtitle-B/chapter-XX/part-3282/subpart-A/section-3282.14

³⁰ https://www.ecfr.gov/current/title-24/subtitle-B/chapter-XX/part-3280

³¹ IBC 2021, Section 1706 Design Strengths of Materials, 1706.2 New Materials. Adopted law pursuant to IBC model code language 1706.2.

³² IBC 2021, Section 1707 Alternative Test Procedure, 1707.1 General. Adopted law pursuant to IBC model code language 1707.1.

³³ Please see the <u>ANAB directory</u> for building official approved agencies.

³⁴ IBC 2021, Section 1706 Design Strengths of Materials, Section 1706.1 Conformance to Standards Adopted law pursuant to IBC model code language 1706.1.

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1.11.4 **Approved**: The <u>purpose of the IAF MLA</u> is to ensure mutual recognition of accredited certification and validation/verification statements between signatories to the MLA, and subsequently acceptance of accredited certification and validation/verification statements in many markets based on one accreditation for the timely approval of innovative materials, products, designs, services, assemblies and/or methods of construction. Accreditations granted by IAF MLA signatories are recognised worldwide based on their equivalent accreditation programs, therefore reducing costs and adding value to businesses and consumers.